FORM PTO-1449



Sheet __1__ of __2__

U.S. DEPARTMENT OF CONNERCE Atty Docket No. Serial No. PATENT AND TRANSPORTED IL-10623 09/664942												
INFORMATION DISCLOSURE STATEMENT Applicant Group												
STATEMENT BY APPLICANT Christine A. Smith et al Filing Date Examiner												
	U.S. PATENT DOCUMENTS											
Examiner Initial		Document Number	Date		Name	Class		Subclass	Filin	g Date If		
mn./						ļ			Appr	opriate		
1/7	1	6,033,972	3/7/00	J.R.	Ro et al.	43	8	507				
MMY	2	6,005,707	12/21/99	M.R	. Berggren et al.	35	9	322		•		
MA	3	5,989,947	11/23/99	М. С	Dilger et al.	438		172				
Mry	4	5,937,295	8/10/99	<u>W. (</u>	Chen et al.	438		257				
m	5	5,904,994	5/18/99	A. D	odabalapur et al.	428		690	<u> </u>			
Jr Z	6	5,882,779	3/16/99	N. L	awandy	428		323				
My	7	5,703,896	12/30/97	J.I. I	Pankove et al.	372		50				
Nry	8	5,614,435	3/25/97	P. P	etroff et al.	43	7	110				
NO	9	5,613,140	3/18/97	K. Taira		39	5	800				
phy	10	5,482,890	1/9/96	D.C. Liu et al.		43	7	107				
m	11	5,442,254	8/15/95	J.E. Jaskie		31	3	485				
M	12	5,354,707	10/11/94	J.D. Chappel-Sokoletal et al.		43	7	106				
m	13	5,260,957	11/9/93	F. H	. Hakimi et al.		372 39		ļ			
'. I/	14	5,244,828	44,828 9/14/93		K. Okada et al.		7	81				
hil	15	5,229,320	7/20/93	R. Ugajin		43	7	107	<u></u>			
			FOREIG	N PA	TENT DOCUMENTS							
^		Document Number	Date		Country		ass	Subclass	Translation YES NO			
	A	WO 99/50916	Oct. 7, 199	9								
Tank	В	WO 96/14206	May 17, 1996									
ОТН	ER DI	SCLOSURES (in	cluding Aut	thor, 1	Fitle, Date, Pertinent Pages	s, Pl	ace o	of Publicati	on, Et	c.)		
M.W.	1.1	Christine A. Smith et al., "Laser Annealing of Trap States in ZnSe Quantum Dots," 98/XThE10, 1998, pages 1-3										
pry	1.2											
		Thuriocrasters	, 11411051140			<u> </u>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , , ,				

FORM PTO-1449

NOV 0 6 2000

Sheet __2__ of __2__

m	1.3	A.A. Lipovskii et al., "Formation of Table nanocrystals in a novel phosphate glass." (Eighth International Conference on II-VI Compounds, Grenoble, France, 25-29 Aug. 1997), Journal of Crystal Growth, 6 Feb. 1998, vol. 184, pages 365-9 Abstract						
my	1.4	J. Rodriguez-Viejo et al., "Synthesis of CdSe/ZnS quantum dot composites for electroluminescent devices," (Flat Panel Display Materials II Symposium, San Francisco, CA, USA 8-12 April 1996), Mater. Res. Soc, 1997, pages 477-82 Abstract						
MM	1.5	T. Kummell et al., "Single zero-dimensional excitons in CdSe/ZnSe nanostructures, " Applied Physics Letters, 23 Nov. 1998, vol. 73, (no. 21), pages 3105-7 Abstract						
pm	1.6	A.A. Toropov et al., "Optical and transport properties of CdSe/ZnSe self-organized nanostructures: 1-dimensional versus 3-dimensional quantum confinement," (Quantum Dot Structures, Sapporo, Japan, 31 May-4 June 1998), Japanese Journal of Applied Physics, Part 1 (Regular Papers, Short Notes & Review Papers), Jan. 1999, vol. 38, (no. 1B) pages 566-9 Abstract						
m	1.7	C.A. Smith et al., "Optical studies of ZnSe nanocrystals in potassium borosilicate glass matrices," (Flat Panel Display Materials II Symposium, San Francisco, CA, USA, 8-12 April 1996), Mater. Res. Soc, 1997, p.501-6 Abstract						
Examine	P Dlum	n D. Minmermon 3-4-03						

Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and pot considered. Include copy of this form with next communication to applicant.